

# QIT Lent 2018: Example sheet 4 solutions

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## Exercise 1. The other Fuchs and van de Graaf inequality.

1. Let  $\{E_m\}_{m \in M}$  be a POVM, where  $M$  is a finite set. Given two states  $\rho_A$  and  $\sigma_A$ , use the Cauchy-Schwarz inequality to show that

$$F(\rho_A, \sigma_A) \leq \sum_{m \in M} \sqrt{\text{tr}[E_m \rho] \text{tr}[E_m \sigma]} = F(\tilde{\rho}_M, \tilde{\sigma}_M) \quad (1)$$

where

$$\tilde{\rho}_M = \sum_{m \in M} \text{tr}[E_m \rho] |m\rangle\langle m|, \quad \tilde{\sigma}_M = \sum_{m \in M} \text{tr}[E_m \sigma] |m\rangle\langle m| \quad (2)$$

are diagonal states encoding probabilities of the measurement outcomes  $m$ , in a Hilbert space  $\mathcal{H}_M$  of dimension  $M$  with orthonormal basis  $\{|m\rangle\}_{m \in M}$ .

*Hint: use the polar decomposition  $\sqrt{\rho}\sqrt{\sigma} = |\sqrt{\rho}\sqrt{\sigma}|U$ .*

2. Let  $\rho$  and  $\sigma$  be mixed states and assume  $\rho$  is invertible.

Show that if  $\hat{M} := \rho^{-1/2} |\rho^{1/2} \sigma^{1/2}| \rho^{-1/2}$  has spectral decomposition  $\hat{M} = \sum_m \lambda_m |m\rangle\langle m|$ , then

$$|m\rangle\langle m| \sqrt{\rho} = \frac{1}{\lambda_m} |m\rangle\langle m| \sigma^{1/2} U^\dagger,$$

where  $U$  is unitary and defined by the polar decomposition  $\sqrt{\rho}\sqrt{\sigma} = |\sqrt{\rho}\sqrt{\sigma}|U$ .

*Hint: start by showing that  $|m\rangle\langle m| \hat{M} = \lambda_m |m\rangle\langle m|$ .*

3. Show that projective measurement  $\{E_m\}_{m \in M}$  defined by  $E_m = |m\rangle\langle m|$ , achieves equality in equation (1).

Conclude that when  $\rho$  is invertible,

$$F(\rho, \sigma) = \min_{\{E_m\}_{m \in M}} F(\tilde{\rho}_M, \tilde{\sigma}_M) \quad (3)$$

where the minimum is over POVMs  $\{E_m\}_{m \in M}$  and  $\tilde{\rho}_M$  and  $\tilde{\sigma}_M$  are defined in (2).

4. Given two quantum states  $\rho$  and  $\sigma$  such that  $\rho$  is invertible, use equation (3) to show that

$$D(\rho, \sigma) \geq 1 - F(\rho, \sigma).$$

**Solution.**

1. Since  $\sum_m E_m = I$ , we have that

$$\begin{aligned}
F(\rho, \sigma) &= \text{tr}[|\sqrt{\rho}\sqrt{\sigma}|] = \text{tr}[\sqrt{\rho}\sqrt{\sigma}U^*] \\
&= \sum_m \text{tr}[\sqrt{\rho}E_m\sqrt{\sigma}U^*] = \sum_m \text{tr}[\sqrt{\rho}\sqrt{E_m}\sqrt{E_m}\sqrt{\sigma}U^*] \\
&= \sum_m \langle \sqrt{E_m}\sqrt{\rho}, \sqrt{E_m}\sqrt{\sigma}U^* \rangle_{\text{HS}} \\
&\leq \sum_m \sqrt{\langle \sqrt{E_m}\sqrt{\rho}, \sqrt{E_m}\sqrt{\rho} \rangle_{\text{HS}}} \sqrt{\langle \sqrt{E_m}\sqrt{\sigma}U^*, \sqrt{E_m}\sqrt{\sigma}U^* \rangle_{\text{HS}}}
\end{aligned}$$

by the Cauchy-Schwarz inequality, where  $\langle A, B \rangle_{\text{HS}} = \text{tr}[A^*B]$  is the Hilbert-Schmidt inner product. Thus,

$$\begin{aligned}
F(\rho, \sigma) &\leq \sum_m \sqrt{\text{tr}[\sqrt{\rho}E_m\sqrt{\rho}]} \sqrt{\text{tr}[U\sqrt{\sigma}E_m\sqrt{\sigma}U^*]} \\
&= \sum_m \sqrt{\text{tr}[E_m\rho]} \sqrt{\text{tr}[E_m\sigma]}
\end{aligned}$$

by the cyclicity of the trace and that  $U^*U = I$ .

2. We have that

$$\begin{aligned}
|m\rangle\langle m|\hat{M} &= |m\rangle\langle m| \sum_{m'} \lambda_{m'} |m'\rangle\langle m'| \\
&= \sum_{m'} \lambda_{m'} \delta_{m,m'} |m\rangle\langle m'| \\
&= \lambda_m |m\rangle\langle m|.
\end{aligned} \tag{4}$$

Substituting the definition of  $\hat{M}$ ,

$$\begin{aligned}
|m\rangle\langle m|\hat{M} &= |m\rangle\langle m|\rho^{-1/2}|\rho^{1/2}\sigma^{1/2}|\rho^{-1/2} \\
&= |m\rangle\langle m|\rho^{-1/2}\sqrt{\rho}\sqrt{\sigma}U^\dagger\rho^{-1/2} \\
&= |m\rangle\langle m|\sqrt{\sigma}U^\dagger\rho^{-1/2}.
\end{aligned}$$

Thus, by (4),  $|m\rangle\langle m|\sqrt{\sigma}U^\dagger\rho^{-1/2} = \lambda_m|m\rangle\langle m|$ . Thus,

$$|m\rangle\langle m|\sqrt{\rho} = \lambda_m^{-1}|m\rangle\langle m|\sqrt{\sigma}U^\dagger$$

as desired.

3. We have equality is Cauchy-Schwarz if and only if the two vectors are linearly dependent. Since that is the only inequality we used, we have that  $F(\rho, \sigma) = F(\tilde{\rho}, \tilde{\sigma})$  if and only if there exists constants  $\alpha_m \in \mathbb{C}$  such that

$$\sqrt{E_m}\sqrt{\rho} = \alpha_m\sqrt{E_m}\sqrt{\sigma}U^*$$

for each  $m$ . Choosing  $E_m = |m\rangle\langle m|$ , this condition becomes

$$|m\rangle\langle m|\sqrt{\rho} = \alpha_m|m\rangle\langle m|\sqrt{\sigma}U^*.$$

By choosing  $\alpha_m = \lambda_m^{-1}$  we see that indeed,  $F(\rho, \sigma) = F(\tilde{\rho}, \tilde{\sigma})$ . Since for any measurement  $\{E_m\}$  we have  $F(\rho, \sigma) \leq F(\tilde{\rho}, \tilde{\sigma})$ , and that equality is achieved for a specific measurement, (3) follows.

4. Let  $\{E_m\}$  be a measurement which achieves  $F(\rho, \sigma) = F(\tilde{\rho}, \tilde{\sigma})$ . Moreover,  $D(\tilde{\rho}, \tilde{\sigma}) \leq D(\rho, \sigma)$  by the data-processing inequality, since the ‘‘measure-and-prepare’’ map  $\Lambda : \rho \mapsto \sum_m \text{tr}[E_m \rho] |m\rangle\langle m|$  is CPTP. Thus, it remains to prove that

$$1 - F(\tilde{\rho}, \tilde{\sigma}) \leq D(\tilde{\rho}, \tilde{\sigma}). \quad (5)$$

These states are both diagonal in the basis  $\{|m\rangle\}$  and thus we’ve reduced to the classical case. We define  $p_m = \text{tr}[\rho E_m]$  and  $q_m = \text{tr}[\sigma E_m]$ . Then  $F(\tilde{\rho}, \tilde{\sigma}) = \sum_m \sqrt{p_m q_m}$ , and  $\{p_m\}_m$  and  $\{q_m\}_m$  are each probability distributions. Then

$$\begin{aligned} \sum_m (\sqrt{p_m} - \sqrt{q_m})^2 &= \sum_m p_m + \sum_m q_m - 2 \sum_m \sqrt{p_m q_m} \\ &= 2(1 - F(\tilde{\rho}, \tilde{\sigma})). \end{aligned}$$

On the other hand, we can use the inequality  $|\sqrt{p_m} - \sqrt{q_m}| \leq |\sqrt{p_m} + \sqrt{q_m}|$  to see

$$\begin{aligned} \sum_m (\sqrt{p_m} - \sqrt{q_m})^2 &= \sum_m |\sqrt{p_m} - \sqrt{q_m}|^2 \leq \sum_m |\sqrt{p_m} - \sqrt{q_m}| |\sqrt{p_m} + \sqrt{q_m}| \\ &= \sum_m |p_m - q_m| = 2D(\tilde{\rho}, \tilde{\sigma}) \end{aligned}$$

which completes the proof<sup>1</sup>.

**Exercise 2.** Let  $|\psi\rangle_{ABE}$  be a pure state of a tripartite system  $ABE$ . Define the *coherent information* from  $A$  to  $B$  of  $\psi$  to be

$$I_c^{A>B}(\psi) = -S(A|B)_\psi.$$

Here  $S(A|B)_\psi$  denotes the conditional entropy of the subsystem  $A$  with respect to subsystem  $B$ , given that the composite system  $ABE$  is in the pure state  $|\psi\rangle_{ABE}$ . Henceforth we shall omit  $\psi$ .

Prove the following identities:

1.  $\frac{1}{2}I(A : B) + \frac{1}{2}I(A : E) = S(A)$
2.  $\frac{1}{2}I(A : B) - \frac{1}{2}I(A : E) = I_c^{A>B}$

**Solution.**

1. By definition of the quantum mutual information,

$$\begin{aligned} \frac{1}{2}I(A : B) + \frac{1}{2}I(A : E) &= \frac{1}{2}[S(A) + S(B) - S(AB) + S(A) + S(E) - S(AE)] \\ &= S(A) + \frac{1}{2}[S(B) - S(AB) + S(E) - S(AE)]. \end{aligned}$$

Since  $|\psi\rangle_{ABE}$  is pure,  $S(B) = S(AE)$  and  $S(E) = S(AB)$  (since for any bipartition of the systems  $ABE$ , the entropies of both reduced density matrices are equal). Thus, the term in brackets vanishes and we obtain  $S(A)$  as desired.

<sup>1</sup>The trick of considering  $\sum_m (\sqrt{p_m} - \sqrt{q_m})^2$  and the subsequent bounds are from Nielsen and Chuang, p. 415.

2. Likewise,

$$\begin{aligned}
\frac{1}{2}I(A : B) - \frac{1}{2}I(A : E) &= \frac{1}{2}[S(A) + S(B) - S(AB) - S(A) - S(E) + S(AE)] \\
&= \frac{1}{2}[S(B) - S(AB) - S(E) + S(AE)] \\
&= \frac{1}{2}[S(B) - S(AB) - S(AB) + S(B)] \\
&= S(B) - S(AB) = -S(A|B) = I_c^{A>B}.
\end{aligned}$$

**Exercise 3.** A bipartite quantum state  $\rho_{AB}$  is said to be *separable* if it can be written as a convex combination of product states, i.e., if there exists an ensemble  $\{p_i, \sigma_A^{(i)} \otimes \tau_B^{(i)}\}$ , with  $\sigma_A^{(i)} \in \mathcal{B}(\mathcal{H}_A)$  and  $\tau_B^{(i)} \in \mathcal{B}(\mathcal{H}_B)$ , such that

$$\rho_{AB} = \sum_i p_i \sigma_A^{(i)} \otimes \tau_B^{(i)}.$$

This allows us to extend the definition of entanglement to mixed states: *a mixed state is entangled if it is not separable.*

Show that if  $\rho_{AB}$  is separable then  $I_c^{A>B} \leq 0$ .

What implication does this have on the conditional entropy  $S(A|B)$ ?

**Solution.**

Since any separable state can be written as a convex combination of product pure states, any separable state  $\rho_{AB}$  may be written as  $\rho_{AB} = \sum_i p_i \psi_A^{(i)} \otimes \phi_B^{(i)}$  for some pure states  $\psi_A^{(i)}$  and  $\phi_B^{(i)}$ . Next, because the quantum conditional entropy is concave<sup>2</sup>, the coherent information is convex. Thus

$$I_c^{A>B}(\rho) \leq \sum_i p_i I_c^{A>B}(\psi_A^{(i)} \otimes \phi_B^{(i)}) = \sum_i p_i [S(\phi_B^{(i)}) - S(\psi_A^{(i)} \otimes \phi_B^{(i)})].$$

But each entropy is the entropy of a pure state and thus vanishes. Thus,  $I_c^{A>B}(\rho) \leq 0$ . Thus conditional entropy is therefore non-negative on separable states.

**Exercise 4.** Use the HSW theorem to find the product state capacity of the depolarizing channel,  $\Lambda$ , defined by

$$\Lambda(\rho) = p\rho + (1-p)\frac{I}{2}.$$

**Solution.**

Note we are only dealing with qubits (otherwise  $\Lambda$  would not be trace-preserving). First, note that for any unitary  $U$ , we have that

$$\Lambda(U\rho U^*) = pU\rho U^* + (1-p)\frac{I}{2}$$

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<sup>2</sup>Exercise 9 of Example Sheet 3

and therefore  $\Lambda(U\rho U^*) = U\Lambda(\rho)U^*$ .

The HSW theorem gives that the product state capacity of  $\Lambda$  is given by

$$\chi^*(\Lambda) = \max_{\{p_x, \rho_x\}} S(\Lambda(\sum_x p_x \rho_x)) - \sum_x p_x S(\Lambda(\rho_x)).$$

We can reduce to pure state ensembles  $\{p_x, \psi_x\}$ . Then defining the average state  $\rho := \sum_x p_x \psi_x$ , we consider

$$S(\Lambda(\rho)) - \sum_x p_x S(\Lambda(\psi_x))$$

Since all pure states can be related by unitaries, we have that for each  $x$  and  $y$ , there is a unitary  $U$  such that  $\psi_x = U\psi_y U^*$ . Thus,

$$S(\Lambda(\psi_x)) = S(\Lambda(U\psi_y U^*)) = S(U\Lambda(\psi_y)U^*) = S(\Lambda(\psi_y))$$

using that the entropy is unitarily invariant. Thus,  $S(\Lambda(\psi_x))$  does not depend on  $x$ . To calculate its value, we can extend  $|\psi_x\rangle$  to an orthonormal basis given by  $\{|\psi_x\rangle, |\psi_x\rangle^\perp\}$ . Then in this basis,

$$\Lambda(\psi_x) = p\psi_x + (1-p)\frac{I}{2} = \begin{pmatrix} p + \frac{1-p}{2} & 0 \\ 0 & \frac{1-p}{2} \end{pmatrix}.$$

Thus,  $S(\Lambda(\psi_x)) = h\left(\frac{1-p}{2}\right)$  where  $h$  is the binary entropy. Since  $\sum_x p_x = 1$ , we have

$$S(\Lambda(\rho)) - \sum_x p_x S(\Lambda(\psi_x)) = S(\Lambda(\rho)) - h\left(\frac{1-p}{2}\right).$$

It remains to maximize the output entropy  $S(\Lambda(\rho))$  over all states  $\rho$ . Since  $\Lambda$  is unitarily invariant, we can work in the eigenbasis of  $\rho$ , in which case  $\rho = \begin{pmatrix} \lambda & 0 \\ 0 & 1-\lambda \end{pmatrix}$  for some number  $0 \leq \lambda \leq 1$ . In this basis, we have

$$\Lambda(\rho) = \begin{pmatrix} p\lambda + \frac{1-p}{2} & 0 \\ 0 & p(1-\lambda) + \frac{1-p}{2} \end{pmatrix}.$$

Thus,

$$S(\Lambda(\rho)) = h\left(p\lambda + \frac{1-p}{2}\right) = h\left(\frac{1}{2} + p\left(\lambda - \frac{1}{2}\right)\right)$$

Since the binary entropy is maximized at  $\frac{1}{2}$  at which point it takes the value  $\log_2 2 = 1$  (since, e.g. the entropy is maximized on a uniform distribution),  $S(\Lambda(\rho))$  is maximized when  $\lambda = \frac{1}{2}$ . Thus,

$$\chi^*(\Lambda) = 1 - h\left(\frac{1-p}{2}\right)$$

gives the product state capacity of the depolarizing (qubit) channel.

**Exercise 5.** Alice prepares a photon in one of two polarization states, given by the kets  $|a\rangle := |1\rangle$ , and  $|b\rangle := \sin\theta|0\rangle + \cos\theta|1\rangle$ , depending on the outcome of a fair coin toss. If the outcome is heads, she prepares the state  $|a\rangle$ . Otherwise she prepares the state  $|b\rangle$ . Evaluate the Holevo  $\chi$  quantity for her ensemble of states. (Use the convention that  $|0\rangle = (1\ 0)^T$  and  $|1\rangle = (0\ 1)^T$ ). For what value of  $\theta$  is the Holevo bound achieved? Explain why.

**Solution.**

The average state is given by

$$\begin{aligned}\rho &= \frac{1}{2}|1\rangle\langle 1| + \frac{1}{2}|b\rangle\langle b| \\ &= \frac{1}{2}[|1\rangle\langle 1| + \sin^2\theta|0\rangle\langle 0| + \cos^2\theta|1\rangle\langle 1| + \sin\theta\cos\theta[|0\rangle\langle 1| + |1\rangle\langle 0|]] \\ &= \frac{1}{2}\begin{pmatrix} \sin^2\theta & \sin\theta\cos\theta \\ \sin\theta\cos\theta & 1 + \cos^2\theta \end{pmatrix}\end{aligned}$$

which has eigenvalues  $\frac{1}{2}(1 \pm \cos\theta)$ . Since the two states are pure, each has zero entropy. The Holevo quantity is therefore simply  $h(\frac{1}{2}(1 \pm \cos\theta))$  where  $h$  is the binary entropy. Since the binary entropy is maximized at  $\frac{1}{2}$ , this quantity is maximized when  $\cos\theta = 0$ , i.e.  $\theta = \frac{\pi}{2} + \pi z$  for any  $z \in \mathbb{Z}$ .

**Exercise 6.** Alice encodes classical information into  $n$  photons which she sends to Bob through a quantum channel. What is the maximum number of bits of information that Bob can infer from the output of the channel by doing measurements on it?

*Hint: Use the Holevo bound.*

**Solution.**

Let  $X$  denote Alice's classical information, and  $Y$  the random variable obtained by Bob from measurements on what he obtains from the quantum channel. The amount of bits of information Bob can infer about Alice's information is exactly  $I(X : Y)$ . The Holevo bound gives that

$$I(X : Y) \leq \chi(\{p_x, \rho_x\})$$

where  $\{p_x, \rho_x\}$  is the ensemble used by Alice to encode her information. We don't know how the encoding is done or if the channel is noisy, but we know only  $n$  photons were used, which we assume are qubits (information encoded only in the polarization). Thus, the output state  $\rho = \sum_x p_x \rho_x$  is  $2^n$ -dimensional, and its entropy is at most  $n$ . Since  $\chi(\{p_x, \rho_x\}) \leq S(\rho) \leq n$ , we obtain an upper bound of  $n$ .

**Exercise 7. Entropy exchange.** The entropy exchange for a state  $\rho$  and a quantum channel  $\Lambda$  is defined as follows:

$$S(\rho, \Lambda) := S(\rho'_{RQ}),$$

where  $\rho'_{RQ} = (\text{id}_R \otimes \Lambda)\psi^{\rho}_{RQ}$ , with  $\psi^{\rho}_{RQ}$  being a purification of  $\rho$ .

1. Prove that  $S(\rho, \Lambda) = S(\rho'_E)$ , where  $\rho'_E = \text{tr}_{RQ}(\rho'_{RQE})$  with

$$\rho'_{RQE} = (I_R \otimes U_{QE})(\psi^{\rho}_{RQ} \otimes |0_E\rangle\langle 0_E|)(I_R \otimes U_{QE}^\dagger),$$

with  $U_{QE}$  being the Stinespring dilation of the channel  $\Lambda$ .

*Thus the entropy exchange can be interpreted as the amount of entropy introduced by  $\Lambda$  into an initially pure environment.*

2. Prove that the entropy exchange can also be written in the form

$$S(\rho, \Lambda) = S(W) = -\text{tr} W \log W,$$

where  $W$  denotes a matrix with elements  $W_{ij} = \text{tr}(A_i \rho A_j^\dagger)$ , where  $\{A_i\}$  denote a set of Kraus operators of  $\Lambda$ .

**Solution.**

1. We can see that indeed,  $\rho'_{RQ} = \text{tr}_E \rho'_{RQE}$ . Moreover,  $\rho'_{RQE}$  is a pure state as  $\psi_{RQ}^\rho \otimes |0_E\rangle\langle 0_E|$  is pure, and we conjugate by a unitary,  $I_R \otimes U_{QE}$ . Thus, the Schmidt decomposition tells us that across any bipartite partition, the reduced density matrices have the same non-zero eigenvalues, and thus the same entropy. That is,  $S(\rho'_{RQ}) = S(\rho'_E)$  as desired.
2. We can trace out  $R$  to find

$$\rho'_E = \text{tr}_Q[U_{QE}(\rho_Q \otimes |0_E\rangle\langle 0_E|)U_{QE}^\dagger].$$

Now, we can choose our Strinespring representation to act as  $U|\psi_A\rangle \otimes |0_E\rangle = \sum_i A_i|\psi_A\rangle \otimes |i\rangle$  for any pure state  $|\psi_A\rangle$  (as shown in the notes where we construct  $U$ ), where  $\{A_i\}$  is a Kraus representation of  $\Lambda$ . Now, let  $\rho_Q = \sum_\alpha \lambda_\alpha |\psi_\alpha\rangle\langle \psi_\alpha|$  be a decomposition into pure states. Then

$$\begin{aligned} \rho'_E &= \sum_\alpha \lambda_\alpha \text{tr}_Q[U_{QE}(|\psi_\alpha\rangle\langle \psi_\alpha| \otimes |0_E\rangle\langle 0_E|)U_{QE}^\dagger] \\ &= \sum_\alpha \lambda_\alpha \sum_{i,j} \text{tr}_Q[A_i|\psi_\alpha\rangle\langle \psi_\alpha|A_j^\dagger \otimes |i_E\rangle\langle j_E|] \\ &= \sum_\alpha \lambda_\alpha \sum_{i,j} \text{tr}[A_i|\psi_\alpha\rangle\langle \psi_\alpha|A_j^\dagger]|i_E\rangle\langle j_E| \\ &= \sum_{i,j} \text{tr}[A_i \rho_Q A_j^\dagger]|i_E\rangle\langle j_E|. \end{aligned}$$

Therefore,  $W := \rho'_E$  has a matrix representation where the matrix elements are given by  $\text{tr}[A_i \rho_Q A_j^\dagger]$ . By Step (1), this completes the proof.

**Exercise 8. Quantum Fano inequality.** Prove the quantum Fano inequality:

$$S(\rho, \Lambda) \leq h(F_e(\rho, \Lambda)) + (1 - F_e(\rho, \Lambda)) \log(d^2 - 1)$$

where

- $\Lambda$  denotes a quantum operation with Kraus representation

$$\Lambda(\rho) = \sum_{i=0}^{d^2} V_i \rho V_i^\dagger,$$

with  $\rho$  being the state of a quantum system  $Q$  with Hilbert space of dimension  $d$ .

- $h(\cdot)$  denotes the binary Shannon entropy, i.e., for any  $0 < p < 1$ ,

$$h(p) = -p \log p - (1 - p) \log(1 - p).$$

- $S(\rho, \Lambda) = -\text{tr}(W \log W)$ , with  $W$  being a matrix with elements  $W_{ij} = \text{tr}(V_i \rho V_j)$ . The quantity  $S(\rho, \Lambda)$  is called *entropy exchange*.

$$F_e(\rho, \Lambda) := \langle \psi_{RQ}^\rho | (\text{id} \otimes \Lambda) \psi_{RQ}^\rho | \psi_{RQ}^\rho \rangle,$$

is the entanglement fidelity. Here  $|\psi_{RQ}^\rho\rangle$  is a purification of the state  $\rho$ , with  $R$  denoting the reference system used for the purification.

*What is the implication of the quantum Fano inequality as regards entanglement?*

**Solution.**

First, we have the useful result that the entropy is increasing under unital maps. That is, if  $\Lambda$  is a unital CPTP map, then  $S(\Lambda(\rho)) \geq S(\rho)$  for any state  $\rho$ . We can see this simply by using the data-processing inequality:

$$S(\rho) = -D(\rho \| I) \leq -D(\Lambda(\rho) \| \Lambda(I)) = -D(\Lambda(\rho) \| I) = S(\Lambda(\rho)).$$

Now, from the previous exercise, we know that  $S(\rho, \Lambda) = S(\rho'_{RQ})$  for  $\rho'_{RQ} = (\text{id}_R \otimes \Lambda)(\psi_{RQ}^\rho)$ . Let us consider an orthonormal basis  $\{|f_i\rangle\}_{i=1}^{d^2}$  for the joint space  $\mathcal{H}_R \otimes \mathcal{H}_Q$  such that  $|f_1\rangle = |\psi_{RQ}^\rho\rangle$ . That is, we take the first vector to be  $|\psi_{RQ}^\rho\rangle$  and then use Gram-Schmidt to complete to an orthonormal basis. Then we define the unital CPTP map

$$\Gamma(\omega_{RQ}) = \sum_i \langle f_i | \omega_{RQ} | f_i \rangle |f_i\rangle \langle f_i|.$$

This is sometimes called a *pinching map* for the basis  $\{|f_i\rangle\}$ . We can check it is CPTP as it has Kraus operators  $\{|f_i\rangle \langle f_i|\}_{i=1}^{d^2}$ , and unital by substituting  $\omega_{RQ} = I_{RQ}$ . By our “useful result”, we have

$$S(\rho, \Lambda) = S(\rho'_{RQ}) \leq S(\Gamma(\rho'_{RQ})) = H(\{\langle f_i | \rho'_{RQ} | f_i \rangle\}_{i=1}^{d^2})$$

which is the Shannon entropy of the probability distribution  $p := \{\langle f_i | \rho'_{RQ} | f_i \rangle\}_{i=1}^{d^2}$  (as these are the eigenvalues of  $\Gamma(\rho'_{RQ})$  which can see by the definition of  $\Gamma$ ). Moreover, we know the first entry is  $\langle f_1 | \rho'_{RQ} | f_1 \rangle = F_e(\rho, \Lambda)$  by construction. Thus, if we define  $\varepsilon := 1 - F_e(\rho, \Lambda)$ , the distribution  $p$  has probability  $1 - \varepsilon$  for the first entry. Therefore, by classical Fano’s inequality<sup>3</sup>,

$$H(p) \leq h(\varepsilon) + \varepsilon \log(d^2 - 1) = h(1 - \varepsilon) + \varepsilon \log(d^2 - 1)$$

where to obtain the equality we use that the binary entropy is symmetric (in the sense that  $h(x) = h(1 - x)$  for  $x \in [0, 1]$ ). Substituting  $\varepsilon$  yields thus result.

By the previous exercise, we can see  $S(\rho, \Lambda)$  as the entropy of  $\text{tr}_E[\rho'_{RQE}]$  (and also of  $\text{tr}_{RQ}[\rho'_{RQE}]$ ). Since  $\rho'_{RQE}$  is a pure state, this quantity is the *entropy of entanglement* of the state  $\rho'_{RQE}$  across the partition  $RQ|E$ . This is an *entanglement monotone* (i.e. non-increasing under local operations and classical communication, so-called LOCC operations), and you can check that it is zero for product states and non-zero for entangled states. Since we can see  $\rho'_{RQE}$  as induced by the action of  $\Lambda$  (in Stinespring form) on a product state  $\psi_{RQ}^\rho \otimes |0_E\rangle \langle 0_E|$ , the quantity  $S(\rho, \Lambda)$  is a measurement of the entanglement generated by  $\Lambda$  by its action on this state. On the other hand,  $F_e(\rho, \Lambda)$  is the fidelity between  $\psi_{RQ}^\rho$  and  $\Lambda(\psi_{RQ}^\rho)$ , which

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<sup>3</sup>Exercise 8 of Example sheet 1



is close to 1 when  $\psi_{RQ}^\rho$  is close to  $\Lambda(\psi_{RQ}^\rho)$  (so  $\varepsilon$  is close to zero in this case). So we can upper bound the entanglement generated by  $\Lambda$  in terms of how much it changes the state in fidelity (and in particular, if  $\Lambda$  does not change the state at all, then it can't generate any entanglement).

**Exercise 9.** Continuity of the von Neumann entropy (Fannes' inequality): Suppose  $\rho, \sigma \in \mathcal{D}(\mathcal{H})$  are states such that their trace distance  $D(\rho, \sigma)$  satisfies the bound

$$2D(\rho, \sigma) = \|\rho - \sigma\|_1 \leq 1/e.$$

Then

$$|S(\rho) - S(\sigma)| \leq \|\rho - \sigma\|_1 \log d + \eta(\|\rho - \sigma\|_1), \quad (6)$$

where  $d = \dim \mathcal{H}$ , and  $\eta(x) := -x \log x$ .

Let us prove this theorem in steps:

1. Let  $r_1 \geq r_2 \geq \dots \geq r_d$  be the eigenvalues of  $\rho$  arranged in non-increasing order, and let  $s_1 \geq s_2 \geq \dots \geq s_d$  be the eigenvalues of  $\sigma$  arranged in non-increasing order. Then prove that:

$$\|\rho - \sigma\|_1 \geq \sum_{j=1}^d |r_j - s_j| \quad (7)$$

2. Check (using elementary calculus) that if  $|r - s| \leq 1/2$ , then

$$|\eta(r) - \eta(s)| \leq \eta(|r - s|),$$

where  $\eta(x) := -x \log x$ .

3. Use Step 2 and the triangle inequality to prove that

$$|S(\rho) - S(\sigma)| \leq \sum_j \eta(|r_j - s_j|).$$

4. Let  $\varepsilon_j := |r_j - s_j|$ ,  $\forall j = 1, 2, \dots, d$ , and  $\varepsilon := \sum_j \varepsilon_j$ . Let  $\lambda_j := \varepsilon_j/\varepsilon$  and note that  $\{\lambda_j\}$  forms a probability distribution. Use this fact and Step 3 to prove that

$$|S(\rho) - S(\sigma)| \leq \varepsilon \log d + \eta(\varepsilon).$$

5. Note that  $\eta(\varepsilon)$  is a monotonically increasing function of  $\varepsilon$  for  $0 \leq \varepsilon \leq 1/e$ . Use this to finally arrive at the statement (6).

**Solution.**

1. I could not find a short proof of this fact (without assuming something that directly implies it). This subquestion is then nonexaminable; see e.g. the excellent book *Matrix Analysis* by Bhatia<sup>4</sup> for several (more involved) proofs.

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<sup>4</sup>DOI: 10.1007/978-1-4612-0653-8. In particular, equation IV.62 of that book is a restatement of this question.

2. Without loss of generality, take  $r > s$  and set  $\delta := r - s$ . Then

$$\begin{aligned}\eta(s + \delta) - \eta(s) - \eta(\delta) &= -(s + \delta) \log(s + \delta) + s \log s + \delta \log(\delta) \\ &= -s \log(s + \delta) - \delta \log(s + \delta) + s \log s + \delta \log(\delta) \\ &= s \log \frac{s}{s + \delta} + \delta \log \frac{\delta}{s + \delta} \\ &\leq 0\end{aligned}$$

since both  $\frac{s}{s + \delta} \leq 1$  and  $\frac{\delta}{s + \delta} \leq 1$ . Thus,

$$\eta(r) - \eta(s) \leq \eta(r - s).$$

On the other hand, set

$$f(\delta) := \eta(s) - \eta(s + \delta) - \eta(\delta).$$

It remains to show that  $f(\delta) \leq 0$  for  $\delta \leq \frac{1}{2}$  (and  $r = s + \delta \leq 1$ ), which implies

$$\eta(s) - \eta(s + \delta) \leq \eta(\delta)$$

meaning that we have proven

$$|\eta(r) - \eta(s)| \leq \eta(|r - s|).$$

First,  $f(0) = 0$ , and

$$f'(\delta) = -\eta'(s + \delta) - \eta'(\delta)$$

while

$$\eta'(x) = \frac{d}{dx}(-x \log x) = -\log x - \frac{1}{\ln 2},$$

so

$$f'(\delta) = \log(s + \delta) + \frac{1}{\ln 2} + \log(\delta) + \frac{1}{\ln 2}$$

and

$$f''(\delta) = \frac{1}{\ln 2} \left[ \frac{1}{s + \delta} + \frac{1}{\delta} \right] > 0$$

for  $\delta > 0$ . Thus,  $f$  is convex. We wish to prove  $f(\delta) \leq 0$  for  $\delta \in [0, \min(1 - s, \frac{1}{2})]$ , and by convexity, we only need to check the boundaries (since  $f$  will be less than either boundary point between the two). We have immediately  $f(0) = 0$ . Next, consider  $s \geq \frac{1}{2}$ . Then let's check  $g(s) := f(1 - s) = \eta(s) - \eta(1 - s) \leq 0$  for  $s \geq \frac{1}{2}$ . We can see at  $s = \frac{1}{2}$ ,  $g(s) = 0$ , and  $g'(s) = -\log(s) + \log(1 - s) \leq 0$  since  $1 - s \leq s$ . Thus,  $g$  is decreasing for all  $s \in [\frac{1}{2}, 1]$ , proving indeed,  $g(s) \leq 0$  on that interval.

Lastly, we need to check when  $s < \frac{1}{2}$  that  $f(\frac{1}{2}) \leq 0$ . In this case,

$$h(s) := f\left(\frac{1}{2}\right) = \eta(s) - \eta\left(s + \frac{1}{2}\right) - \eta\left(\frac{1}{2}\right).$$

Then  $h(0) = -2\eta(\frac{1}{2}) \leq 0$ , and  $h'(s) = -\log(s) + \log(s + \frac{1}{2}) > 0$ , so  $h$  is increasing. Thus,  $h$  takes a maximum at the end of the interval we wish to test, at  $s = \frac{1}{2}$ . But here,  $h(\frac{1}{2}) = \eta(\frac{1}{2}) - \eta(1) - \eta(\frac{1}{2}) = 0$ . Thus,  $f(\frac{1}{2}) \leq 0$  for  $s \in [0, \frac{1}{2}]$ .

3. We have that  $S(\rho) = \sum_j \eta(r_j)$  and  $S(\sigma) = \sum_j \eta(s_j)$ . Therefore,

$$\begin{aligned} |S(\rho) - S(\sigma)| &= \left| \sum_j \eta(r_j) - \eta(s_j) \right| \\ &\leq \sum_j |\eta(r_j) - \eta(s_j)| \\ &\leq \sum_j \eta(|r_j - s_j|). \end{aligned}$$

4. Note that

$$\eta(xy) = -xy \log(xy) = -xy(\log x + \log y) = -xy \log x - xy \log y = y\eta(x) + x\eta(y)$$

Thus,  $\eta(\varepsilon_j) = \eta(\varepsilon \lambda_j) = \varepsilon \eta(\lambda_j) + \lambda_j \eta(\varepsilon)$ , and

$$\begin{aligned} |S(\rho) - S(\sigma)| &\leq \sum_j \eta(\varepsilon_j) \\ &= \varepsilon \sum_j \eta(\lambda_j) + \eta(\varepsilon) \\ &= \eta(\varepsilon) + H(\{\lambda_j\}_j) \\ &\leq \eta(\varepsilon) + \varepsilon \log d \end{aligned}$$

using that  $\{\lambda_j\}_j$  is a probability distribution, and the entropy of any probability distribution with  $d$  elements is bounded by  $\log d$ .

5. By step 1,  $\varepsilon \leq \|\rho - \sigma\|_1$ . Since  $\varepsilon \mapsto \eta(\varepsilon)$  is monotonically increasing on the range  $[0, 1/e]$ , if  $\|\rho - \sigma\|_1 \leq 1/e$ , then

$$\begin{aligned} |S(\rho) - S(\sigma)| &\leq \eta(\varepsilon) + \varepsilon \log d \\ &\leq \eta(\|\rho - \sigma\|_1) + \|\rho - \sigma\|_1 \log d \end{aligned}$$

as desired.

**Exercise 10.** An interesting class of quantum channels are the *entanglement-breaking (EB) channels*. An EB channel  $\Lambda$  is one for which  $(\text{id} \otimes \Lambda)(\omega)$  is separable, even for entangled  $\omega$ <sup>5</sup>. The Holevo capacity has been proved to be additive for EB channels.

1. Prove that any channel of the following form is EB:

$$\Lambda(\rho) = \sum_k \sigma_k \text{tr}(E_k \rho), \tag{8}$$

where  $\sigma_k$  are density matrices and  $\{E_k\}$  is a POVM. The above form has the following physical interpretation. Alice does a measurement (POVM) on the input state  $\rho$  and communicates the outcomes  $k$  to Bob via a classical channel; Bob then prepares an agreed upon state  $\sigma_k$ . Hence, EB channels are also called “measure-and-prepare channels”.

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<sup>5</sup>It derives its name from the fact that the channel outputs a separable state whenever half of an entangled state is input to it.

2. Prove that if the Choi state  $(I \otimes \Lambda)|\Omega\rangle\langle\Omega|$  (where  $|\Omega\rangle$  denotes the unnormalized maximally entangled state) is separable, then  $\Lambda$  has the form (8).

**Solution.**

1. First, let us consider a pure state  $|\psi\rangle_{AB}$  with Schmidt decomposition  $|\psi\rangle_{AB} = \sum_i \sqrt{\lambda_i} |e_i\rangle |f_i\rangle$ . Then

$$\begin{aligned} \text{id}_A \otimes \Lambda(\psi_{AB}) &= \sum_{i,j} \sqrt{\lambda_i \lambda_j} \sum_k \text{tr}(E_k |f_i\rangle\langle f_j|) |e_i\rangle\langle e_j| \otimes \sigma_k. \\ &= \sum_k \sum_{i,j} \sqrt{\lambda_i \lambda_j} \langle f_j | E_k | f_i \rangle |e_i\rangle\langle e_j| \otimes \sigma_k. \end{aligned}$$

Now, let  $p_k = \text{tr}[\sum_{i,j} \sqrt{\lambda_i \lambda_j} \langle f_j | E_k | f_i \rangle |e_i\rangle\langle e_j|] = \sum_i \lambda_i \langle f_i | E_k | f_i \rangle$ . Then since  $E_k \geq 0$  and  $\lambda_i \geq 0$  we have  $p_k \geq 0$ , and moreover

$$\begin{aligned} \sum_k p_k &= \sum_i \sum_k \lambda_i \langle f_i | E_k | f_i \rangle \\ &= \sum_i \lambda_i \langle f_i | \sum_k E_k | f_i \rangle \\ &= \sum_i \lambda_i \langle f_i | I | f_i \rangle \\ &= \sum_i \lambda_i = 1. \end{aligned}$$

Define  $\omega_k = \frac{1}{p_k} \sum_{i,j} \sqrt{\lambda_i \lambda_j} \langle f_j | E_k | f_i \rangle |e_i\rangle\langle e_j|$ . Let  $|\psi\rangle$  be any vector. Then

$$\begin{aligned} \langle \psi | \omega_k \psi \rangle &= \frac{1}{p_k} \sum_{i,j} \sqrt{\lambda_i \lambda_j} \langle f_j | E_k | f_i \rangle \langle \psi | e_i \rangle \langle e_j | \psi \rangle \\ &= \frac{1}{p_k} \sum_{\ell} \sum_{i,j} \sqrt{\lambda_i \lambda_j} \langle f_j | E_k^{1/2} | \ell \rangle \langle \ell | E_k^{1/2} | f_i \rangle \langle \psi | e_i \rangle \langle e_j | \psi \rangle \\ &= \frac{1}{p_k} \sum_{\ell} \left| \sum_i \sqrt{\lambda_i} \langle \ell | E_k^{1/2} | f_i \rangle \langle \psi | e_i \rangle \right|^2 \geq 0. \end{aligned}$$

Thus,  $\omega_k \geq 0$ . Since we chose  $p_k$  so that  $\text{tr} \omega_k = 1$ , we have that  $\omega_k$  is a density matrix. Then

$$\text{id}_A \otimes \Lambda(\psi_{AB}) = \sum_k p_k \omega_k \otimes \sigma_k$$

is a separable state. To treat a mixed state  $\rho_{AB}$  we simply consider a convex decomposition into pure states,  $\rho_{AB} = \sum_{\alpha} q_{\alpha} \psi_{\alpha}$ , and use linearity to see that  $\rho_{AB}$  must be separable as well (i.e. using that the set of separable states is convex).

2. Assume  $(I \otimes \Lambda)|\Omega\rangle\langle\Omega|$  is separable. Then

$$\begin{aligned} (I \otimes \Lambda)|\Omega\rangle\langle\Omega| &= \sum_{i,j} |i\rangle\langle j| \otimes \Lambda(|i\rangle\langle j|) \\ &= \sum_k p_k \psi_k \otimes \phi_k \end{aligned}$$

for pure states  $\psi_k, \phi_k$  and a probability distribution  $\{p_k\}$ . We can multiply each side by  $|\ell\rangle\langle\ell'| \otimes I$  and partial trace over the first system to find

$$\begin{aligned}
\Lambda(|\ell'\rangle\langle\ell|) &= \sum_k p_k \operatorname{tr}[|\ell\rangle\langle\ell'|\psi_k]\phi_k \\
&= \sum_k p_k \operatorname{tr}[(|\ell'\rangle\langle\ell|)^T \psi_k] \phi_k \\
&= \sum_k p_k \operatorname{tr}[(|\ell'\rangle\langle\ell|)^T (\bar{\psi}_k)^T] \phi_k \\
&= \sum_k p_k \operatorname{tr}[(\bar{\psi}_k|\ell'\rangle\langle\ell|)^T] \phi_k \\
&= \sum_k p_k \operatorname{tr}[\bar{\psi}_k|\ell'\rangle\langle\ell|] \phi_k \\
&= \sum_k p_k \operatorname{tr}[|\ell'\rangle\langle\ell|\bar{\psi}_k] \phi_k
\end{aligned}$$

using  $\psi_k = \psi_k^\dagger = (\bar{\psi}_k)^T$ , where  $A^T$  is the transpose of a matrix  $A$  in the basis  $\{|i\rangle\}$  and  $\bar{A}$  is the complex conjugate of  $A$  in the same basis. In the second-to-last line we used that the trace is invariant under transpose, and in the last line, the cyclicity of the trace. Note the complex conjugate matrix  $\bar{\psi}_k$  is still a pure state (one can check it is a self-adjoint, rank-one projector).

By linearity, we therefore have

$$\Lambda(\rho) = \sum_k p_k \operatorname{tr}[\rho \bar{\psi}_k] \phi_k$$

Let  $E_k = p_k \bar{\psi}_k$  so that  $\Lambda(\rho) = \sum_k \operatorname{tr}[\rho E_k] \phi_k$ . It remains to prove  $\{E_k\}$  is a POVM. We have immediately that  $E_k \geq 0$ . To show  $\sum_k E_k = I$ , we note that we can write

$$\Lambda(\rho) = \sum_k p_k \langle \bar{\psi}_k | \rho | \bar{\psi}_k \rangle |\phi_k\rangle\langle\phi_k| = \sum_k p_k |\phi_k\rangle\langle\bar{\psi}_k | \rho | \bar{\psi}_k \rangle \langle\phi_k|.$$

We can therefore define  $A_k := \sqrt{p_k} |\phi_k\rangle\langle\bar{\psi}_k|$  yielding  $\{A_k\}$  as a set of Kraus operators for  $\Lambda$ . Thus, since  $\Lambda$  is TP,

$$\begin{aligned}
I &= \sum_k A_k^\dagger A_k \\
&= \sum_k p_k |\bar{\psi}_k\rangle\langle\phi_k| |\phi_k\rangle\langle\bar{\psi}_k| \\
&= \sum_k p_k |\bar{\psi}_k\rangle\langle\bar{\psi}_k| \\
&= \sum_k E_k
\end{aligned}$$

as desired. Thus,  $\{E_k\}$  is a POVM, and indeed  $\Lambda$  has the form (8).